



ASA-1141

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

A. NAGASAKA et al.

Serial No. 10/617,828

Group Art Unit: 2185

Filed: July 14, 2003

Examiner: Not Yet Assigned

For: PERSONAL AUTHENTICATION DEVICE

Customer No.: 24956

**INFORMATION DISCLOSURE STATEMENT (IDS)**  
**UNDER § 1.97 AND § 1.98**

**Mail Stop Amendment**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

1. This IDS should be considered:

(a) when filed within three months of the filing date of the present application, or within three months of the filing date of the National Stage as set forth in § 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last;

(b) when filed before the mailing date of either a Final Rejection under § 1.113 or a Notice of Allowance under § 1.311, whichever occurs first and when 1(a) does not apply. For this purpose, there is included herein either a certification in section 4 below (included when indicated by a marked box), or a fee of \$180.00 (a Credit Card Payment Form in the amount of \$180.00 is enclosed, or if not see section 5 below);

(c) when filed prior to the payment of the Issue Fee, when 1(a)-(b) do not apply, and when a certification is included in section 4 below (included when indicated by a marked box); then the Applicant(s) hereby petition(s) and request(s) consideration of this IDS, and provided herewith is a fee of \$180.00 (a Credit Card Payment Form in the amount of \$180.00 to cover the petition fee, or if not see section 5 below).

2. When 1(a)-(c) do not apply, then it is requested that this IDS be placed in the file.

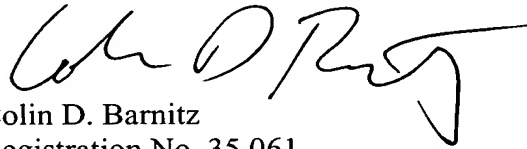
3. Listing of the information submitted is on the attached Form(s) PTO-1449, which forms a part of this IDS. A copy of each listed document is enclosed for each foreign patent, for each publication or portion thereof that caused it to be listed, and for each pending unpublished U.S. application or portion thereof that caused it to be listed. No copy of a U.S. patent or U.S. patent application publication is needed unless required by the PTO. A copy of a document is also not needed when previously submitted or previously cited by the PTO in a prior application to which the present application claims a benefit under 35 U.S.C. § 120.

4. If a fee or additional fee is required, the Commissioner is hereby authorized to charge any fee or additional fee that may be required and credit any excess to Deposit Account No. 50-1417.

5. No explanation of relevancy is being provided for the following document(s) because each is either in the English language, discussed in the present Specification, or its relevance is as stated in a communication from a foreign patent office in a counterpart foreign application.

6. If the PTO determines that part(s) of the required content is inadvertently omitted, then it is requested that the Applicant(s) be given additional time and specific identification of such omission(s) to enable full compliance.

Respectfully submitted,



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Attorney for Applicants

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Date: October 5, 2005

FORM PTO-149  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
ASA-1141SERIAL NO.  
10/617,828**LIST OF DOCUMENTS CITED BY APPLICANT**  
(Use several sheets if necessary)APPLICANT  
A. NAGASAKA et al.FILING DATE  
July 14, 2003GROUP  
2185**U.S. PATENT DOCUMENTS**

* EXAMINER INITIAL		DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE (If Appropriate)
	AA	6,088,470	07/11/2000	Camus et al.			
	AB	6,055,322	04/25/2000	Salganicoff et al.			
	AC	6,289,114 B1	09/11/2001	Mainguet			
	AD	6,459,804 B2	10/01/2002	Mainguet			
	AE	6,668,072	12/23/2003	Hribernig et al.			
	AF	5,526,436	06/11/1996	Sekiya			
	AG	5,177,802	01/05/1993	Fujimoto et al.			
	AH	2003/0103 686A1	06/05/2003	Ogura			
	AI	5,351,303	09/27/1994	Willmore			
	AJ	5,787,185	07/28/1998	Clayden			
	AK	6,301,375 B1	10/09/2001	Choi			

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
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	AM						<input type="checkbox"/>	<input type="checkbox"/>
	AN						<input type="checkbox"/>	<input type="checkbox"/>
	AO						<input type="checkbox"/>	<input type="checkbox"/>
	AP						<input type="checkbox"/>	<input type="checkbox"/>

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, etc.)

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EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449 (REV. 7-80)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. ASA-1141	SERIAL NO. 10/617,828
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(Use several sheets if necessary)

APPLICANT A. NAGASAKA et al.	
FILING DATE July 14, 2003	GROUP 2185

**U.S. PATENT DOCUMENTS**

* EXAMINER INITIAL	DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE (If Appropriate)
AA	6,813,010 B2	11/02/2004	Kono et al.			
AB	2003/0016 345A1	01/23/2003	Nagasaka et al.			
AC	2002/0028 004A1	03/07/2002	Miura et al.			
AD						
AE						
AF						
AG						
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AI						
AJ						
AK						

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
AL	200303063 2A	01/31/2003	Japan (Abstract)			<input type="checkbox"/>	<input type="checkbox"/>
AM	11203452	07/30/1999	Japan (Abstract)			<input type="checkbox"/>	<input type="checkbox"/>
AN	200100042 2	01/09/2001	Japan (Abstract)			<input type="checkbox"/>	<input type="checkbox"/>
AO	200234595 3	12/03/2002	Japan (Abstract)			<input type="checkbox"/>	<input type="checkbox"/>
AP	11-203478	07/30/1999	Japan (Abstract)			<input type="checkbox"/>	<input type="checkbox"/>

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FORM PTO-144  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

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ASA-1141

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	AK					

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						YES	NO
	AL 2002083298	03/22/2002	Japan (Abstract)			<input type="checkbox"/>	<input type="checkbox"/>
	AM					<input type="checkbox"/>	<input type="checkbox"/>
	AN					<input type="checkbox"/>	<input type="checkbox"/>
	AO					<input type="checkbox"/>	<input type="checkbox"/>
	AP					<input type="checkbox"/>	<input type="checkbox"/>

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